| FORM 4 | 4 |
|--------|---|
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

(Print or Type Pesponses)

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or 1 ype Responses)                             |   |  |        |  |               |               |   |  |  |                         |  |
|--|---|--|--------|--|---------------|---------------|---|--|--|-------------------------|--|
| 1. Name and Address of Reporting Per<br>Regazzi John R | 2. Issuer Name and Ticker or Trading Symbol<br>GIGA TRONICS INC [GIGA]  |  |        |  |               |               | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X_Director 10% Owner  |  |  |                         |  |
| (Last) (First)<br>5990 GLEASON DRIVE                   | (Middle)  | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/24/2021                   |        |  |               |               |   | X_Officer (give title below) Other (specify below) President and CEO |  |                         |  |
| (Street)<br>DUBLIN, CA 94568                           | 4. If Amendment, Date Original Filed(Month/Day/Year)  |  |        |  |               |               | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |  |  |                         |  |
| (City) (State)   | (Zip)   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |        |  |               |               |   |  |  |                         |  |
| 1.Title of Security<br>(Instr. 3)                      | 2. Transaction     2A. Deemed     3. Transaction     4. Securities Acquir       Date     Execution Date, if     Code     (A) or Disposed of (Instr. 8)       (Month/Day/Year)     any     (Instr. 3, 4 and 5) |  | of (D) | Beneficially Owned Following<br>Reported Transaction(s)Ownership<br>Form:of I<br>Ben |               | Beneficial    |   |  |  |                         |  |
|  |   | (Month/Day/Year)   | Code   | V  | Amount        | (A) or<br>(D) | Price   | (Instr. 3 and 4)   | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |  |
| Common Stock   | 12/24/2021  |  | А      |  | 10 <u>(1)</u> | А             | \$0   | 40,957 <u>(2)</u>  | D  |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 1474 (9-02) contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (a.g., put, calls warrants actions accuratible computing)

| (e.g., puts, calls, warrants, options, convertible securities) |             |                  |                    |            |    |        |                             |              |                     |       |            |            |                |             |            |
|--|-------------|------------------|--------------------|------------|----|--------|-----------------------------|--------------|---------------------|-------|------------|------------|----------------|-------------|------------|
| 1. Title of  |             |                  | 3A. Deemed         | 4.         |    | 5.     |                             | 6. Date Exer |                     |       |            |            | 9. Number of   |             | 11. Nature |
| Derivative   | Conversion  | Date             | Execution Date, if | Transacti  | on | Numl   | umber and Expiration Date A |              | Amount of Derivativ |       | Derivative | Derivative | Ownership      | of Indirect |            |
| Security   | or Exercise | (Month/Day/Year) | any                | Code       |    | of     | (Month/Day/Year) U          |              | Underlying Securit  |       | Security   | Securities | Form of        | Beneficial  |            |
| (Instr. 3)   | Price of    |                  | (Month/Day/Year)   | (Instr. 8) |    | Deriv  | ative                       |              |                     | Secur | rities     | (Instr. 5) | Beneficially   | Derivative  | Ownership  |
|  | Derivative  |                  |                    |            |    | Secur  | curities                    |              | (Instr. 3 and       |       |            | Owned      | Security:      | (Instr. 4)  |            |
|  | Security    |                  |                    |            |    | Acqu   | ired                        |              |                     | 4)    |            |            | Following      | Direct (D)  |            |
|  |             |                  |                    |            |    | (A) o  | r                           |              |                     |       |            |            | Reported       | or Indirect |            |
|  |             |                  |                    |            |    | Dispo  | osed                        |              |                     |       |            |            | Transaction(s) | (I)         |            |
|  |             |                  |                    |            |    | of (D  | )                           |              |                     |       |            |            | (Instr. 4)     | (Instr. 4)  |            |
|  |             |                  |                    |            |    | (Instr | . 3,                        |              |                     |       |            |            |                |             |            |
|  |             |                  |                    |            |    | 4, and | 15)                         |              |                     |       |            |            |                |             |            |
|  |             |                  |                    |            |    |        |                             |              |                     |       | Amount     |            |                |             |            |
|  |             |                  |                    |            |    |        |                             | Data         | Expiration          |       | or         |            |                |             |            |
|  |             |                  |                    |            |    |        |                             |              | * ·                 | Title | Number     |            |                |             |            |
|  |             |                  |                    |            |    |        |                             | Exercisable  | Date                |       | of         |            |                |             |            |
|  |             |                  |                    | Code       | V  | (A)    | (D)                         |              |                     |       | Shares     |            |                |             |            |

# **Reporting Owners**

|  | Relationships |              |                   |       |  |  |  |  |
|--|---------------|--------------|-------------------|-------|--|--|--|--|
| Reporting Owner Name /<br>Address                        | Director      | 10%<br>Owner | Officer           | Other |  |  |  |  |
| Regazzi John R<br>5990 GLEASON DRIVE<br>DUBLIN, CA 94568 | Х             |              | President and CEO |       |  |  |  |  |

## **Signatures**

| /s/ John R. Regazzi             | 12/28/2021 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

# **Explanation of Responses:**

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- (1) Represents award of restricted shares of common stock. The shares vest in full on December 24, 2022, subject to the reporting person's continued service to the issuer.
- (2) Share amount reflects a 1-for-15 reverse split of the common stock completed on December 12, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).